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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Ramon MERCE VIDAL, et al.

SERIAL NO: 10/566,164

GAU:

FILED: January 27, 2006

EXAMINER:

FOR: INDOL-4 SULFONAMIDE DERIVATIVES, THEIR PREPARATION AND THEIR USE 5-HT-6 AS MODULATORS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>284147US0PCT</b>		SERIAL NO. <b>10/566,164</b>	
<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 1px solid black; border-radius: 50%; padding: 10px; text-align: center; margin: 0 10px;"> <b>PTO</b>  <b>APR 17 2006</b>  <b>PATENT &amp; TRADEMARK OFFICE</b> </div> <div style="text-align: left;"> <b>LIST OF REFERENCES CITED BY APPLICANT</b> </div> </div>				APPLICANT <b>Ramon MERCE VIDAL, et al.</b>		GROUP	
				FILING DATE <b>January 27, 2006</b>			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
/SY/	AA	US 2005/0065202	03-24-05	VIDAL ET AL.			
/SY/	AB	US 2003/0191124	10-09-03	MERCE-VIDAL ET AL.			
/SY/	AC	US 2005/0032791	02-10-05	MERC-VIDAL ET AL.			
	AD						
	AE						
	AE						
	AC						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO			
	AO						
	AR						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner <b>/Shawquia Young/</b>				Date Considered <b>02/26/2009</b>			

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Docket No.: 284147US0PCT	Serial No.: 10/566,164
	Inventor: Ramon MERCE VIDAL, et al.	
	Filing Date: January 27, 2006	Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
/SY/	284057US0PCT	10/566,094	01/27/06		MERCE VIDAL, et al.
/SY/	283723US0PCT	10/566,403	01/30/06		MERCE VIDAL, et al.
/SY/	284024US0PCT	10/566,101	01/27/06		MERCE VIDAL, et al.
/SY/	284147US0PCT*	10/566,164	01/27/06		MERCE VIDAL, et al.
/SY/	283628US0PCT	10/566,100	01/27/06		TORRENS JOVER, et al.
/SY/	283625US0PCT	10/566,402	01/30/06		TORRENS JOVER, et al.
/SY/	PER CLIENT	10/841,066	05/07/04	US2005/0065202 A1	VIDAL, et al.
/SY/	PER CLIENT	10/293,206	11/13/02	US2003/0191124 A1	MERCE- VIDAL, et al.
/SY/	PER CLIENT	10/933,951	09/03/04	US2005/0032791 A1	MERCE- VIDAL, et al.

Examiner                      /Shawquia Young/                      Date Considered                      02/26/2009

\*Present Application; listed for information  
NFO/sbc/kch